

FORMALITIES LETTER



OC00000005004164

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/493,697	01/28/2000	Lawrence S. Pan	CDST-CT/S118-1P

Wagner Murabito & Hao LLP
Two North Market Street
Third Floor
San Jose, CA 95113

Date Mailed: 03/23/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

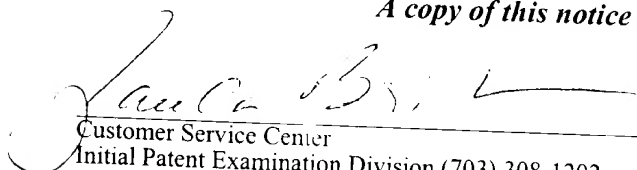
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/05/2000 BHABTEW 00000019 230085 09493697

01 FC:105	130.00 OP
02 FC:139	130.00 OP

Void date: 10/05/2000 BHABTEW

10/05/2000 BHABTEW 00000019 230085 09493697	
01 FC:105	-130.00 OP
02 FC:139	-130.00 OP

10/05/2000 BHABTEW 00000020 09493697

01 FC:105	130.00 OP
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Sector 18

Docket No.: CDST-CT/S118.1P

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this transmittal of the below described document is being deposited with the United States Postal Service in an envelope bearing First Class Postage and addressed to the Commissioner of Patents and Trademarks, Box AF, Washington, D.C., 20231, on the below date of deposit.		
Date of Deposit:	09 26 00	Name of Person Making the Deposit: KATHERINE RINALDI
		Signature of the Person Making the Deposit: <i>Katherine Rinaldi</i>

Inventor(s): Lawrence S. Pan, Donald R. Schropp, Jr., Vasil M. Chakarov, John K. O'Reilly, George B. Hopple, Christopher J. Spindt, Roger W. Barton, Michael J. Nystrom, Ramaoorthy Ramesh, James C. Dunphy, Shiyong Pei and Kollengode S. Narayanan

Serial No.: 09/493,697

Group Art Unit:

Filed: 01/28/00

Examiner:

Batch No:

Title: TAILORED SPACER WALL COATINGS FOR REDUCED SECONDARY ELECTRON EMISSION

Assistant Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing parts of Application (Filing Date Granted) mailed 03/23/00, please find enclosed:

- ☒ a duly executed Declaration and Power of Attorney signed by the inventor(s) and the surcharge of \$130.00 as set fourth in 37 C.F.R. § 1.16(e)
- ☐ a Declaration Claiming Small Entity Status, and a Request for Refund
- ☒ a Request for an Extension of Time; and fee of \$1,850.00
- ☐ a verified English translation of the application, and the \$130.00 fee as set fourth in 37 C.F.R. § 1.17(k);
- ☐ an Assignment document, Form PTO-1595, and the \$40.00 Assignment Recording Fee;
- ☐ Revocation & New Power of Attorney by Assignee of Entire Interest
- ☒ Copy of Notice to be returned with response;

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03 FC:218 130.00 CH 1720.00 DP

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04 FC:581 40.00 CH

Void date: 10/05/2000 BHABTEW
10/05/2000 BHABTEW 00000019 230085 09493697
04 FC:581 40.00 CR
10/05/2000 BHABTEW 00000020 09493697

03 FC:218

1850.00 DP

Void date: 10/05/2000 BHABTEW
10/05/2000 BHABTEW 00000019 230085 09493697
03 FC:218 130.00 CR -1720.00 DP



Extension of Term

1. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.

- (a) ☒ Applicant petitions for an extension of time under 37 C.F.R. 1.136
(fees: 37 C.F.R. 1.17(a)-(d) for the total number of months checked below:)

<u>Extension</u>	<u>Fee</u>
<input type="checkbox"/> one month	\$110.00
<input type="checkbox"/> two months	\$380.00
<input type="checkbox"/> three months	\$870.00
<input type="checkbox"/> four months	\$1,360.00
<input checked="" type="checkbox"/> five months	\$1,850.00
	<u>Fee \$ 1,850.00</u>

If an additional extension of time is required, please consider this a petition therefor.

- (b) ☐ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

PAYMENT OF FEES

2. The full fee due in connection with this communication is provided as follows:

- ☒ The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No.: 23-0085.
A duplicate copy of this authorization is enclosed.

- ☒ A check in the amount of \$1,980.00


- ☐ Charge any fees required or credit any overpayments associated with this filing to Deposit Account No.: 23-0085.

Please direct all correspondence concerning the above-identified application to the following address:

WAGNER, MURABITO & HAO LLP
Two North Market Street, Third Floor
San Jose, California 95113
(408) 938-9060

Respectfully submitted,

Date: 9/26/00

By: 
John P. Wagner, Jr.
Reg. No. 35,398